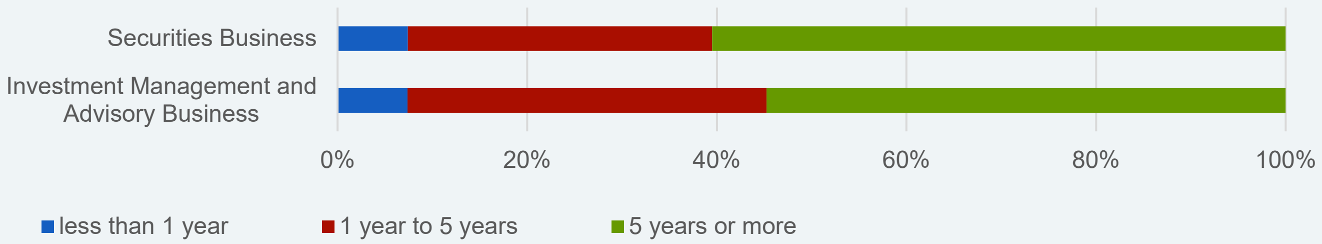
Materiality KPI Results for FY 2025　1/2

Materiality	KPI	Results for FY 2025
Contribution to long-term asset building through alternative investment	① AUM(*1) ② Retention Rate	① Approx. 958 billion Yen (as of March 31, 2026) (Approx. +130.9 billion Yen YoY) ② Breakdown of investors by holding period (as of March 31, 2026)  Holding period of less than one year (Securities Business: 7%, Investment Management and Advisory Business: 7%) is comprised 100% of the mandates obtained during FY 2025.
Contribution to realizing a sustainable society	② SDGs-related AUM(*2)	③ Approx.408.6 billion Yen (as of March 31, 2026) (Approx. +117.5 billion Yen YoY) In FY 2025, maritime investment funds, forestry funds, and renewable energy funds contributed positively.
Environment-friendly business operations	④ Initiatives such as saving electricity within the office	④ CO2 emissions due to electricity consumption in the workplace: 53,685 kg-CO2 (▲5,715kg-CO2 YoY) In FY2025, the conversion of office lighting to LED across the entire office contributed to the reduction.

1: AUM is based on our client accounts, including both the Securities Business and the Investment Management and Advisory Business.
2: SDGs-related AUM is the sum of all client AUM in products investing over 50% of their assets in sectors contributing to the SDGs such as renewable energy, infrastructure, forests, agriculture, and insurance.

Materiality KPI Results for FY 2025　2/2

Materiality	KPI	Results for FY 2025
Working environments to promote employee satisfaction	⑤ Scores in the Employee Engagement Survey (*3) ⑥ Paid vacation usage rate ⑦ Specific initiatives related to human resources	⑤ Average monthly score from April 2025 through December 2025: 65.9 (▲1.6 YoY) ⑥ 59.2% (▲6.7% YoY) ⑦ Personnel evaluations based on the MVV-based Code of Conduct and group discussion initiatives aimed at fostering organizational culture and a sense of unity under the new structure following the capital change.
Corporate governance	⑧ Scores in the Employee Compliance Survey (*4)	⑧ Overall Score: 2.01 (▲6.7% YoY)

3: Overall score for “Wevox,” an engagement survey platform provided by Atrae, Inc.
4: For each item, cases are categorized as “ideal” (+3 points), “normal” (+1 point), and “unacceptable” (-3 points) to index the results.